

National Spatial Data Infrastructure (NSDI)

# **Advancing the Fifty States Initiative**

Update/Review for the FGDC Coordination Group July 20, 2010



### **Presentation Outline**

- I. Fifty States Program Activities
  - A. Outreach to Eligible States and Territories
  - B. NSGIC Mid-Year Workshop Feedback
  - C. Measuring Progress of Statewide Coordination Efforts
    - 1) Geospatial Platform Report
    - 2) Survey of Fifty States Grant Recipients
    - 3) Case Studies
- II. Progress This Year on Federal Workshop Recommendations from 2009 (Closing the Loop)
- III. Lessons-Learned by Grant Recipients
- IV. Project Team
- V. Next Steps Review



# I. Review of FY 2010 Project Activities

Deliverable Item	<b>Duration and/or Due Date</b>
Outreach to CAP Candidates	October-December 2009 (Completed)
Fifty States Strategic & Business Planning Workshop (and Report)	March 2010 (Completed)
Assess Statewide Coordination Efforts	May-September 2010 (Underway)
Geospatial Platform Report	June 2010 (Completed)
Survey of Grant Recipients	June-July 2010 (Completed)
Case Studies	May-July 2010 (In Progress)
Final Report	August (Draft)-September (Final) 2010



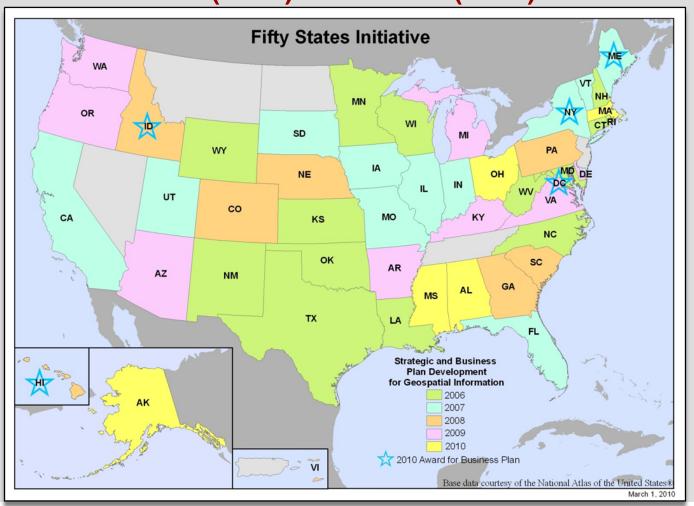
# A. Outreach and Support to Grant Process

- WebEx orientation for grant candidates on application process
  - For States c/o NSGIC
  - For Regions c/o National Association of Regional Councils (NARC)
- Phone and email support to eligible candidates
- Evaluation support c/o NSGIC on applications
- 53 CAP grants awarded since 2006 (this includes 10 for 2010)
  - 45 states, plus DC and USVI (5 states & DC received more than one grant)
  - 35 Strategic Plans available; 18 Business Plans available
  - Sample plans posted at:
     <a href="http://www.fgdc.gov/policyandplanning/50states/tableofplans">http://www.fgdc.gov/policyandplanning/50states/tableofplans</a>



# 10 CAP Grant Recipients in 2010

5 StratPlan (Cat 3) & 5 BizPlan (Cat 4) Grants



**Implementing Statewide Strategic and Business Plans** 



# B. Fifty States Workshop at NSGIC Mid-Year Conf.

- Held at the NSGIC Mid-Year Meeting in Annapolis, Maryland, on Sunday March, 7, 2010
- 45 people participated in the workshop to learn about the GIS Strategic and Business Planning Process in support of the Fifty States Initiative
- This was the fifth consecutive year that the workshop has been conducted
- Attendees included a variety of interested NSGIC members, contractors, federal agency staff and invited guests (e.g. a NARC representative), AND the 2010 recipients of Fifty States grants



# Feedback from the NSGIC 2010 Workshop for Fifty States Grant Recipients

- Continue the lightning talks by states who have already done planning projects (i.e. mini-case studies on what went well, or not so well!)
- Continue the breakout sessions for Strategic Planning and for Business Planning in parallel, but allow more time for questions and discussion
- Spread the message on shifting from planning to implementation to the larger NSGIC audience at the conference itself
- Create a new "roadmap to success" checklist of steps to take after receiving a grant, reflecting the experience of several years of following the Fifty States Strategic and Planning process guidance
- Provide a new list of performance measures and collectible metrics for implementing state spatial data infrastructures, both quantitative and qualitative



# Feedback from the NSGIC 2010 Workshop...(continued)

- Recognize investments by local and regional governments in spatial data infrastructure
- Provide a list of ways that the USGS Geospatial Liaisons can help with both the creation and implementation of plans
- Keep reaching out to non-state agency stakeholders -Stakeholders enjoy the states coming out to see them to gather input
  during the workshop phase of planning
- Provide guidance on convincing non-GIS budget people with non-GIS arguments
- Handle with care any discussions about generating revenue or saving money



# C. Approach to Measuring Progress in 2010

- New case studies underway: Arkansas, Maine, Hawaii, South Carolina, and Virginia
- On-line survey of Fifty States grant recipients and follow-up interviews executed during June-July on effectiveness of grant program
- Updated report to be delivered as Draft in August and Final in September



#### **Quick Summary of Measuring Progress Report from 2009**

- Fifty States CAP grants have:
  - Provided a critical catalyst for planning, goal setting & achievement
  - Stimulated greater involvement, investment and progress
  - Raised awareness of NSDI as an important strategic requirement
- Grant Program is Considered Successful by:
  - USGS GeoSpatial Liaisons
  - Census Regional Geographers
  - State Geodetic Advisors
- Strategic and Business Plan Guidelines received high marks with regard to "usefulness" in responses to online survey
- Data on measures of state coordination between 2006-08 indicate base level of coordination exists in most states, although funding remains a concern



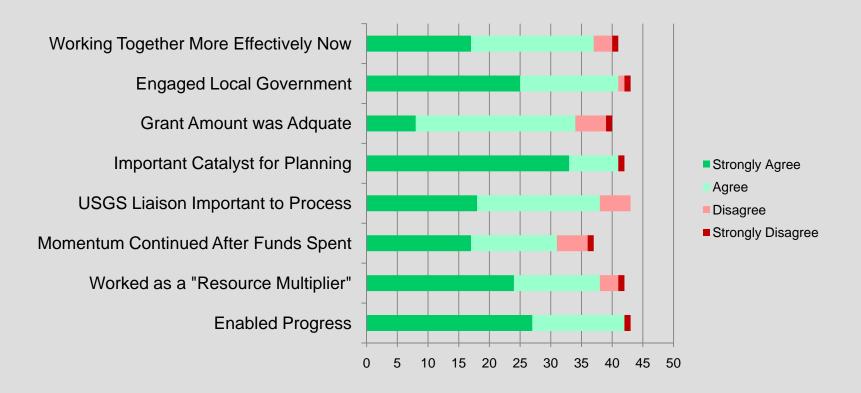
### 2010: New Case Studies

- Arkansas: "It [2009 Fifty States project] provided a mechanism for communications with state leadership and stakeholders..." {RE: Engaging state leaders and stakeholders in NSDI-related efforts}
- Hawaii: "As a result of the 2008 Fifty States project, the Council recruited more non-Board members to take active roles in governance..." {RE: Engaging stakeholders to participate in NSDI-related efforts}
- Maine: "The stakeholder forums and sharing of priorities were most valuable" {RE: Setting the stage for implementation of parcels pilot}
- **South Carolina:** "Without it [2008 Fifty States project], we might be 20% along the way of where we are now..." {RE: Building relationships with local government for data sharing}
- Virginia: "The project [2009 Fifty States project] provided the glue to help advance collaborative efforts needed in VA..." (RE: Building relationships with local government for collaboration)



# 2010: On-Line Survey Results

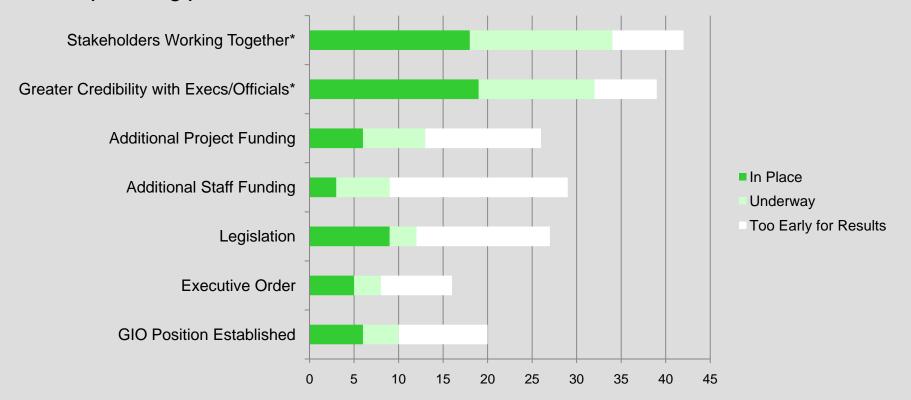
 States were asked to proved level of "agreement" with statements about the process and results (44 States responded as of July 8, 2010)





# 2010: On-Line Survey Results

 States were asked which of the following results can be attributed to their planning process.



<sup>\*</sup> Grant was deemed an especially important catalyst in achieving these particular results.



#### Based on review of 53 plans from 38 jurisdictions

#### DATA:

- Heavy prioritization of the seven original framework layers
- Other notable data priorities amongst the states include:
  - Addresses
  - Buildings & Critical Infrastructure data
- Since the Plans were written pre-ARRA, they reflect limited involvement in Broadband Mapping (which is now a priority in many states)

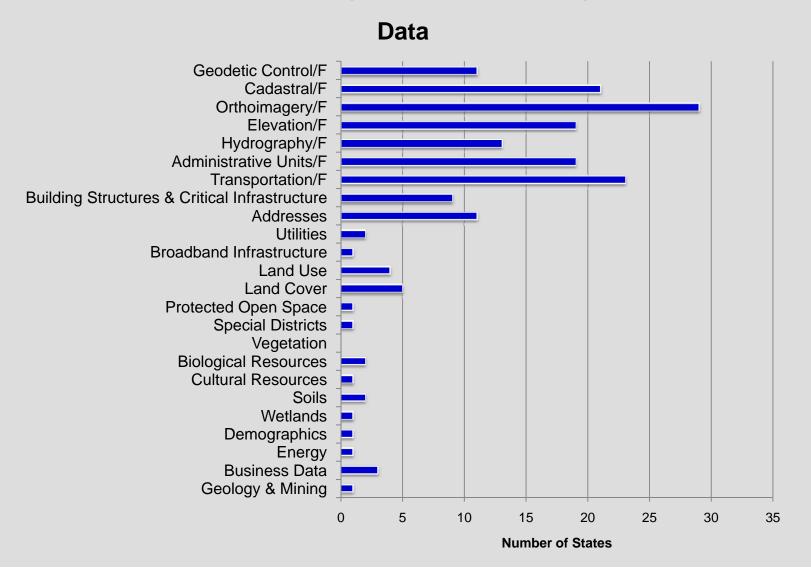
#### **APPLICATIONS:**

- The top application priority: *Emergency Mgt. / Homeland Security*
- Other frequently prioritized applications: Economic Development; Environment; Community Planning; and Transportation

#### **SERVICES:**

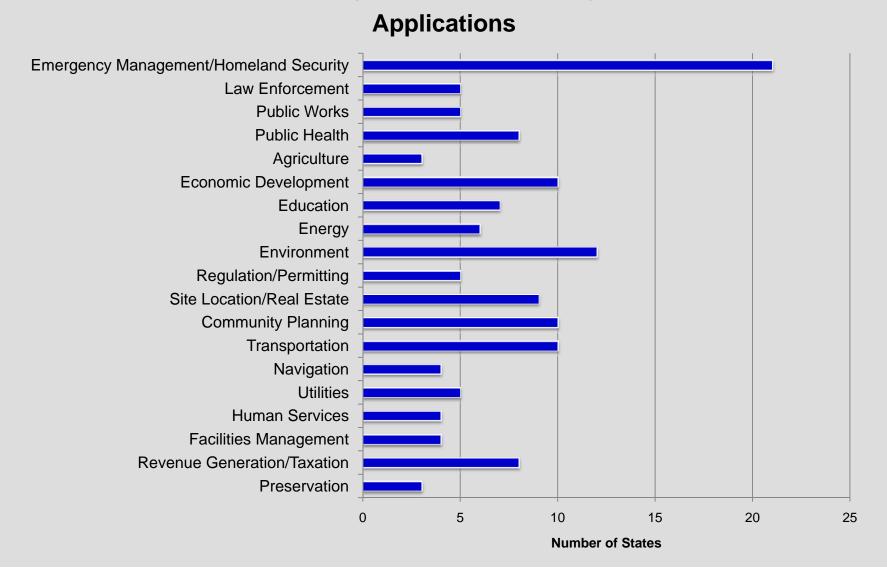
- Highest priority: Coordination / Standards / Best Practices
- Data-oriented services of high priority: Basemap and Imagery
- Geocoding, and Hosting / Provisioning were also frequently mentioned





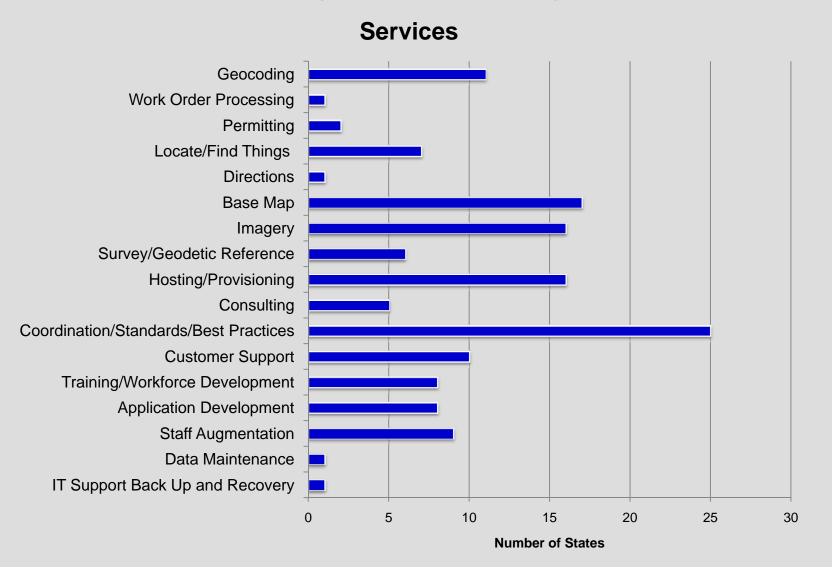
Implementing Statewide Strategic and Business Plans





Implementing Statewide Strategic and Business Plans





Implementing Statewide Strategic and Business Plans



# II. Progress on Key Items from Federal Stakeholder Workshop in 2009

#### Closing the Loop (Recommendation/Action):

- Leverage Federal grant giving and attach "strings" to grants (e.g. minimum required plan components)
  - New "minimum required elements" added to both Strategic Plans and Business Plans for Class of 2009 and 2010
- Consider both DC/HQ-based and regional Federal perspectives
  - Federal Employee Survey completed in June 2009 which included USGS
     Liaisons, Census Regional Geographers, and State Geodetic Advisors
- Giving something back to partners is the basis of a good partnership
  - FGDC has continued to fund Fifty States grants, and recipients are complying with mandatory elements



# Fed. Workshop Follow-up (Cont'd)

- Evaluate how partnerships are working from multiple perspectives (360 viewpoint)
  - Last year, the Federal perspectives were captured by survey; this year, the
     State perspectives will be captured by survey.
- Think beyond the 7 Framework data sets for "data of national significance" and build "communities of interest"
  - Plans now listing "Other Base Themes of Significance" (mandatory if applicable – not all plans address data)
- Recognize limitations of state "self evaluation" for performance measurement
  - Measuring Progress Report recommended more investigation needed to effectively "measure" progress toward coordination; FGDC funding effort to produce a Geospatial Maturity Assessment (GMA) model for the States to use, in cooperation with NSGIC



# Fed. Workshop Follow-up (Cont'd)

- What comes after all Strategic Plans are done?
  - A specific recommendation was made to shift toward implementation, and a new category of grants was added for Business Plans, which focus on implementation
- Continue the Fifty States Initiative
  - Five new Strategic Plan grants and five new Business Plan grants were awarded in 2010, and the 1<sup>st</sup> of two option years on the current project team effort was exercised



# III. Lessons-Learned by Fifty States Grant Recipients Common Issues the States Face

- Many states have internal coordination challenges
  - Huge amounts of state GIS happen at the Agency level (e.g. DOT, DNR, DEP, DPH) to support mission requirements
  - Coalescence with IT, where it is happening, brings cultural, personnel, and business challenges (e.g. chargebacks, fees for services, job classification)
  - Engaging local government partners for data sharing and cooperative funding
- States are a microcosm of federal NSDI coordination
  - In both horizontal and vertical dimensions!



# How states are trying to making GIS relevant

- It's not about GIS technology, it's about how GIS is used...
  - Common state issues and business-drivers:
    - Economic development
    - Education
    - Alternative energy
    - Public safety
    - Broadband infrastructure
  - From Wisconsin's Executive Summary (emphasis added):

Emergency response. Wireless 911. Pandemic planning. Voter registration. Sex offender tracking. .. The coordinated use of geographic information and technologies, including geographic information systems (GIS), is the most effective way to meet these challenges.



# Recognized progress has been made toward SSDI and NSDI at individual state level

- Organizational Change and Alignment GIOs, GIS/IT alignment
- Investments in statewide framework data layers
- Stakeholder coordination new or reinvigorated coordination entities and participation
- Clearinghouse enhancements and upgrades



# IV. Project Team

#### **Project Sponsors:**





#### **Project Contractor Team:**











# V. Review of Next Steps

#### **Challenges and Opportunities**

18,000+ municipalities
3,141 counties
700+ regional & tribal org.
50 states plus territories
Federal Agencies
Private Sector

Multiple framework data themes plus attributes

Funding
Policy
Standards
Technology
QA/QC
Maintenance

- Catalog Success: Document Meaningful Outcomes
- Shift Emphasis: From Planning to Implementation Support
- Extend & Align: With Business Needs and Investment Strategies

Advancing NSDI - It's still a big challenge!



## Recommendations – Catalog Success

- 1. Create Measurements of Success for NSDI
  - Data and non-data related metrics
  - Standardized measures of critical components; e.g., Geospatial Maturity Assessment (GMA); NSGIC GIS Inventory
  - Self- assessment + Concurrence statements
  - Streamlined reporting system(s)
- FGDC Should Create a Best Practices Library of Federal Agency and Statewide Case Studies for Common Issues and Opportunities
  - Document quantitative and qualitative successes, resources, strategies, methods, and case studies



## Recommendations – Shift Emphasis

- 3. Fifty States Initiative CAP Grant Emphasis Should Shift from Strategic Planning to Business Planning
  - State strategic plans have identified numerous programmatic goals and worthwhile initiatives
  - Detailed business planning leads to funding and implementation
  - FGDC/NSGIC Business Planning Guidelines help states to make the business case
- 4. States Should Be Able to Use Fifty States Initiative CAP Grants to Catalyze Implementation Action on Their Strategic and Business Plans
  - CAP Grant seed funding for implementation projects can catalyze action and leverage other funding resources
  - Benefits NSDI development



### Recommendations – Extend & Align

- 5. FGDC Should Consider CAP Strategic and Business Planning Awards to Regional, County, and Local Governments
  - Regional entities play important role in aggregating and stewarding data
  - Linked to CAP Grant supported SP and BP at state level
  - Fosters inter-governmental coordination and cooperation
- 6. The CAP Grant Fifty States Initiative Grant Process Should be Aligned with Other Federal Grant and Funding Programs Targeted to States
  - E.g., Homeland Security, Transportation, ARRA funded Broadband Mapping,...
- 7. Continue and Strengthen Fifty States Initiative Communications and Marketing Activities



## **Recommendations – Summary**

- Create Measurements of Success for NSDI
- FGDC Should Create a **Best Practices** Library of Federal Agency and Statewide Case Studies for Common Issues and Opportunities
- 3. Fifty States Initiative CAP Grant Emphasis Should Shift from Strategic Planning to **Business Planning**
- States Should Be Able to Use Fifty States Initiative CAP Grants to Catalyze Implementation Action on Their Strategic and Business Plans
- FGDC Should Consider CAP Strategic and Business Planning Awards to Regional, County, and Local Governments
- 6. The CAP Grant Fifty States Initiative Grant Process Should be Aligned with Other Federal Grant and Funding Programs Targeted to States
- 7. Continue and Strengthen Fifty States Initiative Communications and Marketing Activities



